

Status of Resolution of Audit Findings

December 2011

Department of Health (DOH)

Agency: 303

Audit Report: 2010 F

Finding Number: 015

Finding: The Department of Health did not comply with time and effort requirements for the Capitalization Grants for Drinking Water State Revolving Fund program.

Resolution: New procedures have been implemented by the Department's Grants and Accounting Office to ensure that documentation is submitted timely and that documents are securely stored and available for audit.

The current procedure includes a notification from the Grants and Accounting Office to the unit managers whose employees are required to submit quarterly certifications.

Certifications are collected centrally and stored in a secure file where they can be made available for subsequent review.

The Department believes that it is now in full compliance with federal requirements for employee time and effort certifications.

Agency Contact: Charles Satterlund
Department of Health
PO Box 47901
Olympia WA 98504-7901
(360) 236-4536
charles.satterlund@doh.wa.gov

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December 2011

Department of Health (DOH)

Agency: 303

Audit Report: 2010 F

Finding Number: 025

Finding: The Department of Health does not monitor subrecipient expenditures of the National Bioterrorism Hospital Preparedness and Public Health Emergency Preparedness programs.

Resolution: The Department is evaluating its subrecipient monitoring procedures as well as the requirements currently in place on subgrants to provide documentation in support of invoiced charges on federal grant sources.

The Department is implementing an agencywide subrecipient monitoring policy that standardizes invoicing formats and requires periodic desk reconciliations of revenues provided and expenditures charged by subgrants. Additional resources and training will be included in this effort. The estimated completion date is April 2012.

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Department of Health
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Olympia WA 98504-7901
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charles.satterlund@doh.wa.gov

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Department of Health (DOH)

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Audit Report: 2010 F

Finding Number: 026

Finding: The Department of Health did not support over \$448,000 in payroll costs in accordance with federal regulations for the National Bioterrorism Hospital Preparedness and Public Health Emergency Preparedness Programs.

Resolution: All program managers involved with both the Public Health Emergency Preparedness and Hospital Preparedness grants are now maintaining detailed time sheets that are in compliance with federal requirements.

The Department is in communication with the Center for Disease Control and U.S. Department of Health and Human Services, Assistant Secretary for Preparedness and Response, and has provided additional documentation in support of these costs. The Department is waiting for a final determination from both federal agencies on the questioned costs.

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Department of Health
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December 2011

Department of Health (DOH)

Agency: 303

Audit Report: 2010 F

Finding Number: 056

Finding: The Department of Health charged federal grants for expenditures after the grant period had closed.

Resolution: The Department reviewed its process over expenditures for obligations incurred during the grant period and stressed the awareness of account coding for grants that have exceeded the 90-day period past closing.

Accounting and Grants Management staffs have clear instructions to close these account codes, making them unavailable, once they have exceeded their period of availability.

In addition, program managers have stressed the importance that the required financial reports are filed with the federal grantor by the due date.

The Department is in communication with U.S. Department of Health and Human Services, Assistant Secretary for Preparedness and Response, and has provided additional documentation that supports an extended deadline for a portion of these expenditures. In these discussions, the Department also stressed that all of these expenditures were otherwise allowable. The Department is awaiting the federal agency's final determination on the questioned costs.

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