

Civil Service Rules Requiring Office of Financial Management Approval

This document lists the civil service rules that fall under the approval authority of the Office of Financial Management (OFM). Agencies must proactively request approval from OFM prior to taking action. For each rule listed, the submission criteria and expected response times are defined.

Key information:

- The effective date is the date the request is received by OFM unless otherwise specified.
- No retroactive approvals will be granted.
- Each rule request process may be different; follow the process for the specific rule under which you are seeking approval.
- Some rules requiring State Human Resources (SHR) authorization may result in OFM requesting a Fiscal Impact Statement (FIS) be submitted and approved for financial feasibility prior to SHR reviewing the request.
- Send requests and attachments via email to specified mailbox in the applicable request process sections (Table).

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Request Process Guidelines:

RULES REQUIRING SHR AUTHORIZATION	REQUEST PROCESS	SHR RESPONSE TIME (<i>Working Days</i>)
ITPS Position Description Form WAC 357-13-035	Agencies/institutions must submit request to OFM State HR, classandcomp@ofm.wa.gov . Provide a copy of draft form detailing the proposed modifications for the agency/institution to the statewide ITPS position description form.	7 Days
Alternative application form. WAC 357-16-060	Agencies must submit request to OFM State HR, shrplanning@ofm.wa.gov . At a minimum, forms must include all fields on the statewide application form.	5 Days
Non-permanent appointment beyond 24 months. WAC 357-19-370	Employers must submit request to OFM State HR, rules@ofm.wa.gov . Extension requests should include: <ul style="list-style-type: none"> • Number of hours that the employee has occupied the position which includes the original temporary appointment date. • Recruitment needs if appropriate. • Justification of backfill or extension (e.g. medical leave). • Job class and a description of the knowledge, skills, and abilities that the position requires. • Statement as to why they cannot fill the position on a regular basis. • Length of non-permanent appointment needed and requested end date. <p>Note: If a non-permanent appointment goes beyond 24 months without OFM approval, remedial action such as permanent status for the incumbent may be taken by the Director per WAC 357-19-430.</p>	5 Days

<p>Salary Determination Policy – Original submittal and material revisions.</p> <p>WAC 357-28-030</p>	<p>Agencies must submit request to OFM State HR, classandcomp@ofm.wa.gov.</p> <p>Provide a copy of the current and revised policies.</p>	<p>20 Days</p>
<p>Base salary above the maximum of the salary range.</p> <p>WAC 357-28-040</p>	<p>Agency Director or designee must submit request to OFM State HR, classandcomp@ofm.wa.gov.</p> <p>Provide justification as to why the base salary should be set above the maximum of the salary range.</p>	<p>14 Days</p>
<p>Premiums exceeding 15% under the provisions of WAC 357-28-095.</p> <p>WAC 357-28-100(1)(a)</p>	<p>Agency Director or designee must submit request to OFM State HR, classandcomp@ofm.wa.gov.</p> <p>Provide justification as to why a premium (as described in WAC357-28-095) which exceeds 15% is necessary and what other options were considered.</p>	<p>14 Days</p>
<p>Additional Pay to support the recruitment and/or retention of like positions at a specific work location. (Group C assignment pay)</p> <p>WAC 357-28-100(1)(b)</p>	<p>Agencies must submit request to OFM State HR, classandcomp@ofm.wa.gov.</p> <ul style="list-style-type: none"> • Agencies should use the recruitment and retention information outlined in the Group C Assignment Pay Guidelines when developing their proposal. • The effective date for represented employees is based on the completion of the union notification bargaining process. 	<p>60 Days <i>(includes Union notification)</i></p>
<p>Lump sum recruitment and/or retention payment.</p> <p>WAC 357-28-100(2)</p>	<p>Agency Director or designee must submit request to OFM State HR, classandcomp@ofm.wa.gov.</p> <ul style="list-style-type: none"> • Provide justification as to why a lump sum for recruitment or retention payment is necessary and what other options were considered. • Indicate the express conditions established in writing which must include a specified period of employment or continued employment. 	<p>14 Days</p>

<p>Employer policy for call back pay for emergency response personnel. WAC 357-28-185(5)</p>	<p>Agency Director or designee must submit request to OFM State HR, classandcomp@ofm.wa.gov.</p> <p>Provide copy of the current and revised policies and include supporting justification.</p>	<p>14 Days</p>
<p>Exceptions to shift premium provisions. WAC 357-28-190</p>	<p>Agency Director or designee must submit request to OFM State HR, classandcomp@ofm.wa.gov.</p> <ul style="list-style-type: none"> • Indicate the shift and shift premium proposed by the agency and the justification for the exception. • Provide justification for recruitment and retention issues and what other options were considered. • Provide estimated additional dollars (annual) of the requested rate. 	<p>20 Days</p>
<p>Exceptions to standby rates. WAC 357-28-210</p>	<p>Agency Director or designee must submit request to OFM State HR, classandcomp@ofm.wa.gov.</p> <ul style="list-style-type: none"> • Indicate the standby rate proposed by the agency and the justification for the exception. • Provide justification for recruitment and retention issues and what other options were considered. • Provide estimated additional dollars (annual) of the requested rate. 	<p>14 Days</p>
<p>Special premium pay for hazardous work during suspended operations. WAC 357-31-270</p>	<p>Agency Director or designee must submit request to OFM State HR, classandcomp@ofm.wa.gov.</p> <ul style="list-style-type: none"> • Indicate the special premium for hazardous work proposed by the agency and the justification for the exception. • Provide estimated additional dollars (annual) of the requested rates. 	<p>10 Days</p>

<p>Suspended operations for more than 15 days. WAC 357-31-280</p>	<p>Agencies must submit request to OFM State HR, classandcomp@ofm.wa.gov.</p> <ul style="list-style-type: none"> • Indicate the requested number of additional days. • Provide the exceptional nature of the event, what other options have been considered, and why this is the preferred alternative. 	<p>3 Days</p>
<p>Alternative employee performance plan and evaluation procedures. WAC 357-37-040</p>	<p>Agencies must submit request to OFM State HR, shrplanning@ofm.wa.gov.</p> <ul style="list-style-type: none"> • Provide current plan and procedures. • Proposed plan and procedures to include justification for changes. 	<p>30 Days</p>
<p>WMS alternate form for WMS position description WAC 357-58-028</p>	<p>Agencies must submit request to OFM State HR, classandcomp@ofm.wa.gov.</p> <ul style="list-style-type: none"> • Provide WMS Inclusion and Evaluation policy and procedures. • Provide summary of proposed changes to the form and justification. 	<p>14 Days</p>
<p>WMS inclusion and evaluation procedure WAC 357-58-032(2)</p>	<p>Agencies must submit request to OFM State HR, classandcomp@ofm.wa.gov.</p> <ul style="list-style-type: none"> • Provide WMS Inclusion and Evaluation policy and procedures. • Provide summary of proposed changes and justification. 	<p>14 Days</p>
<p>WMS inclusion and evaluation request form WAC 357-58-032(3)(b)</p>	<p>Agencies must submit request to OFM State HR, classandcomp@ofm.wa.gov.</p> <ul style="list-style-type: none"> • Provide WMS Inclusion and Evaluation policy and procedures. • Provide summary of proposed changes to the form and justification. 	<p>14 Days</p>

<p>WMS Salary Administration Policy. WAC 357-58-075</p>	<p>Agencies must submit request to OFM State HR, classandcomp@ofm.wa.gov.</p> <p>Provide copy of current and revised policy.</p>	<p>20 Days</p>
<p>WMS Salary Set within the Medical Band (MD). WAC 357-58-081</p>	<p>Agencies must submit request to OFM State HR, classandcomp@ofm.wa.gov.</p> <ul style="list-style-type: none"> • Include copy of the Fiscal Impact Statement submitted to the OFM Budget Office. • Provide justification that indicates the position requires licensure to practice medicine in the state of Washington. • Provide copy of the current position description with WMS JVAC rating. • Provide copy of organization chart that includes the position and reporting relationships. 	<p>14 Days</p>
<p>WMS salary set outside the maximum of the management band. WAC 357-58-085</p>	<p>Agencies must submit request to OFM State HR, classandcomp@ofm.wa.gov.</p> <ul style="list-style-type: none"> • Include copy of the Fiscal Impact Statement submitted to the OFM Budget Office and OFM Budget Analyst. • Provide justification as to why the employee’s salary should be set above the band maximum and what other options were considered. • Provide copy of the current position description with WMS JVAC rating. • Provide copy of organization chart that includes the position and reporting relationships 	<p>14 Days</p>
<p>Grant exceptions to progression increase limits. WAC 357-58-105</p>	<p>Agency Director or designee must submit request to OFM State HR, classandcomp@ofm.wa.gov.</p> <p>Provide justification as to why the progression limit of 25% should be exceeded and what other options were considered.</p>	<p>14 Days</p>

<p>Salary changes greater than 5% for any group of WMS employees.</p> <p>WAC 357-58-130</p>	<p>Agencies must submit request to OFM State HR, classandcomp@ofm.wa.gov.</p> <ul style="list-style-type: none"> • Provide justification as to why it's necessary to provide salary increases exceeding 5% to a group of WMS employees. • Provide the estimated or actual cost associated with this action. 	<p>14 Days</p>
<p>WMS Lump Sum Recruitment or Retention Payment.</p> <p>WAC 357-58-136</p>	<p>Agency Director or designee must submit request to OFM State HR, classandcomp@ofm.wa.gov.</p> <ul style="list-style-type: none"> • Include copy of the Fiscal Impact Statement submitted to the OFM Budget Office. • Provide justification as to why a lump sum for recruitment and/or retention payment is necessary and other options considered. • Provide data related to documented recruitment and/or retention challenges. • Provide data related to unsuccessful recruitment efforts. • Include the express conditions established in writing including the specified period of employment or continued employment. • Provide current position description with WMS JVAC rating. • Provide current organization chart that includes the position and reporting relationships. 	<p>14 Days</p>
<p>Alternative employee performance plan and evaluation procedures for WMS.</p> <p>WAC 357-58-415</p>	<p>Agencies must submit request to OFM State HR, shrplanning@ofm.wa.gov.</p> <ul style="list-style-type: none"> • Provide current plan and procedures. • Provide proposed plan and procedures to include justification for changes. 	<p>20 Days</p>