


Hiring Freeze Exception Report to OFM

Agency: Department of Financial Institutions

Program: Agencywide

Agency Director signature:



(Do not report a running list, only report new actions since your last report.)

Date Approved	Hire Date keyed into HRMS (Start Date)	SAP Position Number (8 digits)	Position Title	Job Class Code	Job Class Title	Fund Source (e.g. GFS, Traspo, etc.)	Rationale for Approving Exception
4/18/2025	4/30/2025 (Start date 5/1/2025)	7100796	WMS Financial Legal Examiner Supervisor	WMS 03	WMS Band 3	300-6	This is a critical supervisor position which is tasked with the intake, management, and resolution of consumer complaints and complaint investigations in the regulation of consumer financial services in the State of Washington.

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Goods and Equipment Freeze Exception Report to OFM

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Date Approved	Description of Goods or Equipment	Total Cost	Fund Source (e.g. GFS, Traspo, etc.)	Rationale for Approving Exception
4/28/2025	Smarsh - An electronic records management solution.	\$ 49,293.21	300-6	Smarsh enables secure and reliable storage and retrieval of text messages, by automating processes which support public records compliance, enhance productivity, and maintain the integrity of our records.

Travel Freeze Exception Report to OFM

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Program: Agencywide

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Date Approved	Position Title	Total Cost	Fund Source (e.g. GFS, Traspo, etc.)	Rationale for Approving Exception
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4/23/2025	FINANCIAL LEGAL EXAMINER 4	\$ 445.00	300-6	Attendance at this in-person training is critical to maintaining the skills necessary to perform the essential duties of the position. Tuition is covered by an outside entity.
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