

**State of Washington - Office of Financial Management
 Status of Audit Resolution
 December 2020**

Department of Services for the Blind

Agency 315

Audit Report	Finding Number	Finding and Corrective Action Status
2019 F	026	<p>Finding: The Department of Services for the Blind did not have adequate internal controls over and was not compliant with requirements to ensure cash draws were accurate and made timely for the Vocational Rehabilitation program.</p> <p>Corrective Action: The Department continued to experience staff turnover in the fiscal unit that affected the level of oversight over the federal draw process. In response to prior audit findings, the Department implemented corrective actions to address the audit recommendations and to strengthen internal controls over cash management.</p> <p>As of December 2018, the Department hired consultants to:</p> <ul style="list-style-type: none"> • Recommend an organizational structure for the fiscal unit. • Assist with drafting agency policies and procedures related to cash management. <p>As of February 2020, the Department:</p> <ul style="list-style-type: none"> • Recruited a Senior Financial Officer with an understanding of grant requirements. Working in tandem with the Deputy Financial Officer, a secondary review process is in place. • Completed the draft policies and procedures for cash management and submitted them for review. <p>As of June 2020, the Department:</p> <ul style="list-style-type: none"> • Adopted the new cash management policies and procedures. • Implemented separation of duties within the fiscal unit when drawing federal funds. • Provided training to staff on the new processes. <p>The conditions noted in this finding were previously reported in finding 2018-020.</p> <p>Completion Date: June 2020, subject to audit follow-up</p> <p>Agency Contact: Lorie Christoferson Deputy Financial Officer PO Box 40933 Olympia, WA 98504-0933 (360) 725-3840 Lorie.christoferson@dsb.wa.gov</p>

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Department of Services for the Blind

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Audit Report	Finding Number	Finding and Corrective Action Status
2019 F	027	<p>Finding: The Department of Services for the Blind did not have adequate internal controls over reporting requirements for the Vocational Rehabilitation Grant.</p> <p>Corrective Action: The Department continued to experience staff turnover in the fiscal unit that affected the level of oversight over the federal reporting process. In response to prior audit findings, the Department implemented corrective actions to address the audit recommendations and to strengthen internal controls over creating and reviewing program cost reports.</p> <p>As of December 2018, the Department hired consultants to:</p> <ul style="list-style-type: none"> • Recommend an organizational plan for the fiscal unit. • Assist with evaluating current processes and controls relating to federal reporting. <p>As of February 2020, the Department:</p> <ul style="list-style-type: none"> • Recruited a Senior Financial Officer with an understanding of grant reporting requirements. Working in tandem with the Deputy Financial Officer, a secondary review process is in place. • Completed the draft policies and procedures for federal grant reporting and submitted them for review. <p>As of June 2020, the Department:</p> <ul style="list-style-type: none"> • Adopted the new policies and procedures for federal grant reporting. • Formalized a review process to ensure program cost reports are prepared accurately and adequately supported. • Provided training to staff on the new processes. <p>The conditions noted in this finding were previously reported in finding 2018-019 and 2017-010.</p> <p>Completion Date: June 2020, subject to finding follow-up</p> <p>Agency Contact: Lorie Christoferson Deputy Financial Officer PO Box 40933 Olympia, WA 98504-0933 (360) 725-3840 Lorie.christoferson@dsb.wa.gov</p>