## Section K                                    June 2021

# Warning Errors – Encumbrances and Allotments

Fund Severity Indicators on the Organization Control Table (TM.3.1) are set to W = Warning. These errors are then reported as Warnings in Section K of the Error Codes.

Errors in processing PAYMENTS involving encumbrances and allotments are warning errors. The transaction is processed, but a warning is produced in instances where encumbrances and/or budgeted allotments are exceeded.

```
=== AFRS =(TM.3.1)==== ORGANIZATION CONTROL MAINTENANCE ========= C105P150 ===
 TR: _____                     LAST UPDATE: 04/25/15                    9990


    FUNCTION: V  (A=ADD, C=CHANGE, D=DELETE, V=VIEW, N=NEXT)
    BIENNIUM: 17


                                          ** SYSTEM MANAGEMENT RECORD **
    PRIOR FM OPEN:   Y                  *                              *
    PRIOR BIEN OPEN: Y                  *      CURRENT BIEN YR: 17     *
                                        *             CURRENT FM: 22   *
                                        *               PRIOR FM: 21   *
    DATA RELATED SEVERITY INDICATORS:   *        PRIOR FM OPEN: NO      *
 1-10: FFFFF_FFFF 11-20: ____FF_FF_     *           (FM 01-24)         *
21-30: __FFF_F__F                       *                              *
                                        *          PRIOR BIEN YR: 15    *
    FUND RELATED SEVERITY INDICATORS:   *  PRIOR BIEN YR OPEN: NO       *
 1-10: F__FWF____ 11-20: F__W__F__F     *           (FM 24-25)         *
21-30: _____F 31-40: __F__F___I     *                              *
41-50: _____F 51-60: __I___F___     *         FM 99 OPEN: NO       *
61-70: _____ 71-80: FFFFFFFFFF     *                              *
                                        ****************************
    

              F3=RETURN,  F12=MESSAGE,  CLEAR=EXIT
 RECORD FOUND AND DISPLAYED
```

**Section K Error Result:** The transaction is flagged with a warning. That transaction will update the system master files (post transaction) and is not moved to the Error File. It is suggested that the contradiction which caused the warning be corrected.

## K11  - APPROPRIATION NEGATIVE

*Explanation:*      The transaction being entered will over liquidate the appropriation (APPN FILE).  This is not desired.  Transaction posted and a warning issued.

*Suggestion:*      Since this warning could have been caused by a previous erroneous transaction or a fund control error, check these transactions.  If a previous transaction has been entered in error, it must be corrected by an adjusting entry.  Reported problem could be to Current Month, Prior Month or Prior Year.

## K14  - ALLOTMENT NEGATIVE

*Explanation:*      The transaction being entered will over liquidate the appropriation file allotment balance.  This is not allowed. Reported problem could be to Current Month, Prior Month or Prior Year.

*Suggestion:*      See the correction process for error code K11.

## K17  – APPROPRIATION OVER EXPEND

*Explanation:*      The transaction being entered will over expend the appropriation file allotment balance.  This is not desired. Reported problem could be to Current Month, Prior Month or Prior Year.

*Suggestion:*      See the correction process for error code K11.

## K30  - ALLOTMENT OVER LIQUIDATED

*Explanation:*      The transaction being entered will over LIQUIDATE the allotment file allotment balance. This is not desired. Transaction posted and a warning issued.

*Suggestion:*      It is most likely that a previous transaction was in error. Check previous transaction, and make the necessary error corrections.   Reported problem could be to Current Month, Prior Month or Prior Year.

## K33  - ALLOTMENT OVER EXPEND

*Explanation:*      The transaction entered would over expend the allotment for the current fiscal month.  Expenditures cannot exceed allotments.

*Suggestion:*      It is most likely that a previous transaction was in error. Check previous transaction, and make the necessary error corrections.   Reported problem could be to Current Month, Prior Month or Prior Year.

## K40  - CASH NEGATIVE

*Explanation:*      The transaction entered would create a negative cash balance.  This is not a desired practice.  Transaction posted, but warning issued.

*Suggestion:*       Determine if the transaction was incorrectly coded and was, therefore, trying to post to the wrong allotment.  If this is the case, correct the transaction with the appropriate error correction transaction.

## K50  - PROJECT BUDGET OVER REVERSED

*Explanation:*      The transaction entered would over-reverse the project budget.

*Suggestion:*       It is most likely that a previous transaction was in error.  Check previous transactions, and make the necessary error corrections.  Reported  problem could be to Current Month, Prior Month or Prior Year.

## K53  - PROJECT OVEREXPEND

*Explanation:*      The transaction entered would over expend the budget.  This is not a desired practice.  Transaction posted, but warning issued.

*Suggestion:*       It is most likely that a previous transaction was in error.  Check previous transactions, and make the necessary error corrections. Reported problem could be to Current Month, Prior Month or Prior Year.

## K57  - PROJECT CLOSED

*Explanation:*      The transaction processing date is not in the range of the project start and end dates.  Project closed.  Transaction posted, but warning issued.

*Suggestion:*       It is most likely that a previous transaction was in error.  Check previous transactions, and make the necessary error corrections. Reported problem could be to Current Month, Prior Month or Prior Year.