

# Special Director's Meeting Agenda

## State Human Resources

### Office of Financial Management

Meeting Date: Thursday, June 10, 2021  
Meeting Time: 8:30 a.m.  
Hosted By: State Human Resources  
Office of Financial Management  
Special Note: Due to current COVID-19 safety and health recommendations, this meeting is via conference call only.  
Audio Conferencing Only: · Dial-in: (888) 285-8919  
· Enter pin: 8101730  
· Code (if asked): 415  
Exhibits: The Exempt, Classification, Compensation, and Rules items on the following pages have been submitted to staff for study and presentation to the State Human Resources Director at a quarterly scheduled public meeting.

### Section A: Previous Minutes Approval

Meeting Minutes – May 13, 2021

### Section B: Exempt Compensation

Item 1 B1807 Director, Information Technology Solutions, ESA – DSHS..... B1-B2  
Item 2 B1891 Director, Division of Finance & Financial Resources, ESA – DSHS..... B3-B5

#### Abolishments

Item 3 B3191 Senior Policy Advisor ..... B6  
Item 4 B3194 Legislative Director – UTC ..... B7

### Section C: Classification

None

### Section D: Compensation

Item 5 Base Range Salary Adjustment, 652R Marine Vessel Operator (eff. 7/1/2021) ..... D1  
Item 6 Base Range Salary Adjustment, 652R Marine Vessel Operator (eff. 7/1/2022) ..... D2  
Item 7 CB Assignment Pay Group B reference #48 ..... D3

### Section E: Rule Amendments

Rules Item 1 Part-time and Temporary Rules..... E1-E5  
Rules Item 2 Non-permanent Rules ..... E6-E10  
Rules Item 3 RCW 41.06.070 Cleanup..... E11

### **Information**

This publication and other State Human Resources Director's meeting related information is available at <http://hr.ofm.wa.gov/meetings/directors-meetings>.

### **Proposal Package Submittals**

All proposal packages should be routed to your assigned classification analyst. Classification and compensation email address [classandcomp@ofm.wa.gov](mailto:classandcomp@ofm.wa.gov).

### **Meeting Coordinator**

For question and concerns, contact the Meeting Coordinator at [classandcomp@ofm.wa.gov](mailto:classandcomp@ofm.wa.gov).

### **Individuals with Disabilities**

If you are a person with a disability and require accommodation for attendance, contact the Meeting Coordinator no later than the first Thursday of the month.

### **Alternate Publication Formats**

This publication will be made available in alternate formats upon request.

### **What is a Revision**

When changes occur to an exhibit after the original Director's meeting agenda has been posted to the State HR website, a *revised exhibit* is created which reflects the most up-to-date information proposed for adoption. The revised exhibit appears in a separate Revised Agenda that will be available on the day of the meeting.

## Section B: Exempt Compensation

Positions in this listing are under the State Human Resources Director for the setting of salaries and fringe benefits, but are otherwise exempt from civil service.

<b>Item 1</b>	
<b>Requester (Agency/HE Institution)</b> Department of Social & Health Services	<b>Analyst</b> Chelsea Lee
<b>Primary Action (check all that apply)</b> <input type="checkbox"/> Abolishment <input type="checkbox"/> Establishment <input checked="" type="checkbox"/> Exemption Change <input type="checkbox"/> Substantial Scope Change	
<b>Secondary Action - As a result of Primary Action (check all that apply)</b> <input type="checkbox"/> Add Position <input checked="" type="checkbox"/> Band Change <input checked="" type="checkbox"/> Minor Scope Change <input type="checkbox"/> Title Change <input type="checkbox"/> Remove Position	
<b>Current Code/Title</b> B1807 Director, Information Technology Solutions, ESA – DSHS	<b>Current EMS Band/Rate</b> EMS Band III (\$72,276 - \$128,856)
<b>Proposed Code/Title</b> N/A	<b>Proposed EMS Band/Rate</b> EMS Band IV (\$86,640 - \$149,292)
<b>Current RCW Exemption (indicate number and description)</b> RCW 41.06.070(3) Governor’s Pool “...directing and controlling program operations of ... a major administrative division...”	<b>Proposed RCW Exemption (indicate number and description)</b> Agency enabling statute RCW 41.06.076 “...this chapter shall not apply in the department of social and health services to the...thirteen division directors...”
<b>Effective Date</b> 6/11/2021	

### Scope

The Director, Information Technology Solutions reports to the Assistant Secretary of the Economic Services Administration, and serves as the executive leader for a large division within ESA. This exempt class is responsible for all aspects of developing and implementing technology initiatives within the organization in alignment with department strategies. This exempt class supports a variety of critical aspects of operations including managing various high-dollar value IT contracts, multiple ESA division IT budgets, strategic planning, IT audit and investigation liaison and legislative support. Under the direction of this exempt class, ITS plans, develops, implements, and evaluates policies, rules, operating principles and procedures for the department’s IT programs and systems.

~~Reporting to the Assistant Secretary, Economic Services Administration (ESA), this position develops organizational technology strategies; develops plans for the enterprise architecture, enterprise content management, data management, systems hardware and software purchases, budget and staffing; and manages development, implementation, installation, and operation of information systems, including telecommunications systems. Additionally, this position’s role is to align the technology vision with business strategy by integrating ESA’s business processes with the appropriate technologies. The ESA Director, Information Technology Solutions is responsible for all aspects of developing and implementing technology initiatives within the organization in alignment with Department strategies.~~

## Section B: Exempt Compensation

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### Explanation

The primary request from the Department of Social and Health Services is for an exemption change from the Governor's Pool to the agency's enabling statute RCW 41.06.076, which allows for the exemption of "...thirteen division directors...". In addition, the agency is requesting a band change and minor scope change to exempt class B1807. The proposed band change from an EMS Band III to an EMS Band IV and the minor scope change are to reflect the class's updated roles and responsibilities as the workload from the Deputy Director class was absorbed and the department was reorganized following the Information Technology Infrastructure Library framework.

State HR staff reviewed and rated the updated position description and concurs with the agency's JVAC score of D4Y – 1012, which is the EMS Band IV level. OFM Budget has reviewed their fiscal impact statement and verified the agency can absorb all costs associated with this request.

<b>This information is entered into the Human Resources Management System (HRMS) and CC Jobs.</b>	
<b>Director's Meeting Date</b> 8/12/2021	
<b>Management Type</b> Management	<b>Date of Exempt Position Description on File</b> 04/02/2021
<b>EEOC Code</b> 41 Officials & Administrators	<b>Current Number of Approved Position(s)</b> 1
<b>Workforce Indicator</b> 80148586 At-Will	<b>Total Number of Approved Position(s)</b> 1

## Section B: Exempt Compensation

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<b>Item 2</b>	
<b>Requester (Agency/HE Institution)</b> Department of Social & Health Services	<b>Analyst</b> Chelsea Lee
<b>Primary Action (check all that apply)</b> <input type="checkbox"/> Abolishment <input type="checkbox"/> Establishment <input checked="" type="checkbox"/> Exemption Change <input type="checkbox"/> Substantial Scope Change	
<b>Secondary Action - As a result of Primary Action (check all that apply)</b> <input type="checkbox"/> Add Position <input checked="" type="checkbox"/> Band Change <input checked="" type="checkbox"/> Minor Scope Change <input checked="" type="checkbox"/> Title Change <input type="checkbox"/> Remove Position	
<b>Current Code/Title</b> B1891 Director, Finance and Recovery, ESA – DSHS	<b>Current EMS Band/Rate</b> EMS Band III (\$72,276 - \$128,856)
<b>Proposed Code/Title</b> B1891 Director, Division of Finance and Financial Resources, ESA – DSHS	<b>Proposed EMS Band/Rate</b> EMS Band IV (\$86,640 - \$149,292)
<b>Current RCW Exemption (indicate number and description)</b> RCW 41.06.070(3) Governor’s Pool “...directing and controlling program operations of ... a major administrative division...”	<b>Proposed RCW Exemption (indicate number and description)</b> Agency enabling statute RCW 41.06.076 “...this chapter shall not apply in the department of social and health services to the...thirteen division directors...”
<b>Effective Date</b> 06/11/2021	

### Scope

The Director, Division of Finance and Financial Resources reports to the Assistant Secretary of the Economic Services Administration, serves as the Administration’s chief financial officer for ESA, and is a member of the ESA’s Executive Leadership Team. This exempt class serves as the department’s senior expert regarding all funding, budget, and accounting functions in support of child support and public assistance programs, providing strategic direction for statewide financial programs, policy and practice. Under the direction of this exempt class, DFFR plans, develops, implements, and evaluates policies, rules, operating principles, and procedures for the Department, relative to ESA programs.

~~This position reports to the Assistant Secretary for Economic Services Administration (ESA), serves as the Administration’s chief financial officer for ESA; is a member of ESA’s Executive Leadership Team. Advises and provides leadership and strategic direction within the Administration and the Department on all financial and budget related issues for the Administration; including accounting, audit risks and findings, budget development and management, program funding, contracts, financial recovery, and related areas.~~

## Section B: Exempt Compensation

Positions in this listing are under the State Human Resources Director for the setting of salaries and fringe benefits, but are otherwise exempt from civil service.

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### The Director:

- Provides leadership and strategic direction for the Administration regarding fiscal issues including accounting, audit risks and finds, program funding, contracts, financial recovery, and related areas;
- Working in conjunction with the Chief Operating Officer participates in development and implementation of a statewide performance measurement process that brings together program/policy, data, strategic planning, and fiscal;
- Advises the Assistant Secretary and Chief Operating Officer on all financial and budget related issues for the Administration
- Manages the Office of Financial Recovery, responsible for recovery of approximately \$400 million annual in debts owed the Department;
- Provides advice, consultation, and technical assistance to senior leaders regarding funding for program development/management, budget development and management, and allotment development and management; participates in development of major policy, program, and operational decisions and initiatives from the financial aspect;
- Interacts with the DSHS and ESA Executive Leadership Teams, the Department's Central Budget Office, the Governor's Office of Financial Management, legislator's and legislative staff, Department of Enterprise Services (DES), DSHS Central Contract Services, federal (CMS, FNS, ACF) and state partners, Regional Business Centers, Community Services Division, Division of Child Support, Division of Disability Determination Services, DSHS clients, DSHS program staff, and other partners and stakeholders;
- Responsible for ensuring that funding decisions are made in accordance with state and federal laws, rules and policies
- Directs the activities of staff responsible for all aspects of financial, facilities, and contracts management.

### Explanation

The primary request from the Department of Social and Health Services is for an exemption change from the Governor's Pool to the agency's enabling statute RCW 41.06.076, which allows for the exemption of "...thirteen division directors...". In addition, the agency is requesting a title, band and minor scope change to exempt class B1891. The title change is to reflect the new organizational structure to manage ESA's biennial budget and related fiscal and financial recovery activities. The proposed band change from an EMS Band III to an EMS Band IV and the minor scope change are to reflect the updated roles and responsibilities as DFFR has had policy and regulation changes over the years which required a significant shift in all areas of planning and oversight.

## Section B: Exempt Compensation

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State HR staff reviewed and rated the updated position description and concurs with the agency's JVAC score of D4Y – 1012, which is within the EMS Band IV level. OFM Budget has reviewed their fiscal impact statement and verified the agency can absorb all costs associated with this request.

<b>This information is entered into the Human Resources Management System (HRMS) and CC Jobs.</b>	
<b>Director's Meeting Date</b> 06/10/2021	
<b>Management Type</b> Management	<b>Date of Exempt Position Description on File</b> 04/02/2021
<b>EEOC Code</b> 41 Officials & Administrators	<b>Current Number of Approved Position(s)</b> 1
<b>Workforce Indicator</b> 80148586 At-Will	<b>Total Number of Approved Position(s)</b> 1

## Section B: Exempt Compensation

Positions in this listing are under the State Human Resources Director for the setting of salaries and fringe benefits, but are otherwise exempt from civil service.

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<b>Item 3</b>	
<b>Requester (Agency/HE Institution)</b>	<b>Analyst</b> Cindy Wulff
<b>Primary Action (check all that apply)</b> <input checked="" type="checkbox"/> Abolishment <input type="checkbox"/> Establishment <input type="checkbox"/> Exemption Change <input type="checkbox"/> Substantial Scope Change	
<b>Secondary Action - As a result of Primary Action (check all that apply)</b> <input type="checkbox"/> Add Position <input type="checkbox"/> Band Change <input type="checkbox"/> Minor Scope Change <input type="checkbox"/> Title Change <input type="checkbox"/> Remove Position	
<b>Current Code/Title</b> B3191 Senior Policy Advisor	<b>Current EMS Band/Rate</b> EMS Band III (\$72,276 - \$128,856)
<b>Proposed Code/Title</b> N/A	<b>Proposed EMS Band/Rate</b> N/A
<b>Current RCW Exemption (indicate number and description)</b> RCW 41.06.070 (1) (v) "In each agency with fifty or more employees...not more than three principal policy assistants..."	<b>Proposed RCW Exemption (indicate number and description)</b> N/A
<b>Effective Date</b> 8/13/2020	

### Explanation

The Utilities and Transportation Commission is requesting abolishment of exempt class B3191 due to reorganization. This exempt class no longer meets the exemption criteria for RCW41.06.070 (1) (v) as it no longer reports to the agency head or deputy agency head. The work performed by this exempt class was moved into WMS, effective April 1, 2021.

State HR supports the agency's request to abolish this exempt class. There is no fiscal impact with this action.

<b>This information is entered into the Human Resources Management System (HRMS) and CC Jobs.</b>	
<b>Director's Meeting Date</b> 8/12/2021	
<b>Management Type</b> Policy	<b>Date of Exempt Position Description on File</b> 5/16/2008
<b>EEOC Code</b> 41 Officials & Administrators	<b>Current Number of Approved Position(s)</b> 2
<b>Workforce Indicator</b> 80148586 At-Will	<b>Total Number of Approved Position(s)</b> N/A

## Section B: Exempt Compensation

Positions in this listing are under the State Human Resources Director for the setting of salaries and fringe benefits, but are otherwise exempt from civil service.

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<b>Item 4</b>	
<b>Requester (Agency/HE Institution)</b> Utilities and Transportation Commission	<b>Analyst</b> Cindy Wulff
<b>Primary Action (check all that apply)</b> <input checked="" type="checkbox"/> Abolishment <input type="checkbox"/> Establishment <input type="checkbox"/> Exemption Change <input type="checkbox"/> Substantial Scope Change	
<b>Secondary Action - As a result of Primary Action (check all that apply)</b> <input type="checkbox"/> Add Position <input type="checkbox"/> Band Change <input type="checkbox"/> Minor Scope Change <input type="checkbox"/> Title Change <input type="checkbox"/> Remove Position	
<b>Current Code/Title</b> B3194 Legislative Director - UTC	<b>Current EMS Band/Rate</b> EMS Band IV (\$86,640 - \$149,292)
<b>Proposed Code/Title</b> N/A	<b>Proposed EMS Band/Rate</b> N/A
<b>Current RCW Exemption (indicate number and description)</b> RCW 41.06.070 (1)(v) "In each agency with fifty or more employees...division directors...who report directly to the agency head..."	<b>Proposed RCW Exemption (indicate number and description)</b> N/A
<b>Effective Date</b> 6/11/2020	

### Explanation

The Utilities and Transportation Commission is requesting abolishment of exempt class B3194 due to reorganization. The reorganization eliminated the need for this exempt class. The work performed by this exempt class was moved into WMS in July 2020.

State HR supports the agency's request to abolish this exempt class. There is no fiscal impact with this action.

<b>This information is entered into the Human Resources Management System (HRMS) and CC Jobs.</b>	
<b>Director's Meeting Date</b> 6/10/2021	
<b>Management Type</b> Policy	<b>Date of Exempt Position Description on File</b> 5/2015
<b>EEOC Code</b> 41 Officials & Administrators	<b>Current Number of Approved Position(s)</b> 1
<b>Workforce Indicator</b> 80148586 At-Will	<b>Total Number of Approved Position(s)</b> N/A

## **Section C: Classification**

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No classification items for this period.

## Section D: Compensation

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### Salary Range Increases 2021 – 2023 Agreement

Item 5				
Action			Effective Date	
Base Range Salary Adjustment			July 1, 2021	
	Class Code	Job Title	Current Salary Range	Proposed Salary Range
A)	652R	Marine Vessel Operator	54	55

#### Explanation

As a result of the 2021 – 2023 Collective Bargaining Negotiations and Budget Process, the Office of Financial Management, State Human Resources is requesting a salary adjustment to the Marine Vessel Operator job classification.

**This information is entered into the  
Human Resources Management System (HRMS) and CC Jobs.**

**Director's Meeting Date**  
6/10/2021

## Section D: Compensation

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### Salary Range Increases 2021 – 2023 Agreement

Item 6				
Action			Effective Date	
Base Range Salary Adjustment			July 1, 2022	
	Class Code	Job Title	Current Salary Range	Proposed Salary Range
B)	652R	Marine Vessel Operator	55	56

#### Explanation

As a result of the 2021 – 2023 Collective Bargaining Negotiations and Budget Process, the Office of Financial Management, State Human Resources is requesting a salary adjustment to the Marine Vessel Operator job classification.

**This information is entered into the  
Human Resources Management System (HRMS) and CC Jobs.**

**Director's Meeting Date**  
6/10/2021

## Section D: Compensation

### Assignment Pay Group A and Group B

WAC 357-28-175 - Assignment pay is a premium added to base salary to recognize specialized skills, assigned duties, and/or unique circumstances that exceed the ordinary. Assignment pay is intended to be used only as long as skills, duties, or circumstances it is based on are in effect.

Item 7	
<b>Requester (Agency/HE Institution)</b> Office of Financial Management, State Human Resources	<b>Analyst</b> Shelby Sheldon
<b>Action</b> <input type="checkbox"/> Abolishment <input type="checkbox"/> Establishment <input checked="" type="checkbox"/> Revision	<b>Effective Date</b> 7/1/2021
<b>Reference #(s) and Description</b>  <b>Reference #48</b> Basic salary plus ten percent (10%) will be paid to Department of Transportation employees when <u>assigned by the employer to work in or removing</u> illegal encampments within State Right of Way. (Eff. 7/15; Rev. 7/19; Rev. 7/21)	

#### Explanation

As a result of the 2021 – 2023 Collective Bargaining Negotiations and Budget Process, the Office of Financial Management, State Human Resources is requesting modifications to the Assignment Pay Reference listing.

Internal Use Only	
<b>Director's Meeting Date</b> 6/10/2021	<b>Reference Type</b> Group B

## Section E: Rule Amendments

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### ITEM #1 – Part-time and Temporary Rules

**Staff note:** [RCW 41.06.070](#) formerly exempted both part-time and temporary employees, as defined by the Office of Financial Management, from state civil service laws. In 2018, the legislature amended RCW 41.06.070 by removing part-time employees from the exemption; only temporary employees, as defined by OFM, are now exempt from civil service laws ([Laws of 2018, chapter 246, section 1](#)). The current civil service rules (Title 357 WAC) do not distinguish between part-time and temporary employees of higher education institutions. We are proposing the following rule amendments in order to bring the civil service rules into alignment with the law. Staff is proposing permanent adoption effective January 1, 2022.

### AMENDATORY SECTION

#### **WAC 357-04-015 Who is not covered by civil service rules?**

The civil service rules do not apply to positions specifically exempted in individual agency statutes, chapter 41.06 RCW, and to the following:

- (1) Washington state patrol trooper cadets in training for commissioning as troopers in the Washington state patrol;
- (2) The executive director, ~~((his/her))~~ the executive director's confidential secretary, assistant directors, and professional education employees of the state board for community and technical colleges; and
- (3) Inmate, student, ~~((part-time,))~~ or temporary employees, and part-time professional consultants, as defined by the ~~((Washington personnel resources board))~~ director in WAC 357-04-040, 357-04-045, 357-04-050, and 357-04-055.

### AMENDATORY SECTION

#### **WAC 357-04-035 Who defines exempt status for student~~((, part-time, or))~~ employees, temporary employees, and part-time professional consultants for higher education employers?**

In accordance with RCW 41.06.070, the ~~((board))~~ director defines exemptions for student~~((, part-time or))~~ employees, temporary employees, and part-time professional consultants. Higher education employers must use the definitions in WAC 357-04-040, 357-04-045, and 357-04-050 as the criteria for identifying positions in these categories of employment that are exempt from civil service rules.

## Section E: Rule Amendments

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### AMENDATORY SECTION

#### **WAC 357-04-045 Which ~~((part-time or))~~ temporary employees of higher education employers are exempt from civil service rules?**

~~((Persons employed to work one thousand fifty hours or less in a twelve consecutive month period from the original date of hire or October 1, 1989, whichever is later, are exempt from civil service rules.))~~ (1) Temporary higher education employees are exempt from civil service rules under the following circumstances:

(a) The employee is employed twelve consecutive months or less;

(b) The employee is employed for one thousand fifty hours or less in that same twelve consecutive month period which begins from the original date of hire or January 1, 2022, whichever is later; and

(c) The employee is limited to one appointment only with the same higher education employer that meets the criteria in (a) and (b) of this subsection.

(2) Temporary appointments under the provisions of this section are subject to remedial action in accordance with WAC 357-19-450.

(3) Temporary employees who are ~~((either))~~ exempt under ~~((this))~~ subsection ~~((or exceptions authorized under WAC 357-19-440,))~~ (1) of this section and who work more than three hundred fifty hours in a twelve consecutive month period from the original date of hire or January 1, 2004, whichever is later, may be included in an appropriate bargaining unit for purposes of collective bargaining, as determined by the public employment relations commission. Overtime and time worked as a student employee under the provisions of WAC 357-04-040 are not counted in the three hundred fifty hours. For purposes of counting the three hundred fifty hours, the twelve-month period will begin on the employee's original date of hire or January 1, 2004, whichever is later. ~~((The next twelve-month period will repeat accordingly. For example:~~

~~The employee's original date of hire is June 1, 2009. The twelve-month period would be June 1, 2009, through May 31, 2010. The next twelve-month period would be June 1, 2010, through May 31, 2011. This pattern will continue.~~

~~Once the employee works at least three hundred fifty hours in a job classification in the collective bargaining unit the employee remains in that collective bargaining unit until the end of the first twelve-month period (as described in this section) in which the employee does not work at least three hundred fifty hours in a job classification that is in the collective bargaining unit. An employee who has not worked sufficient hours in a bargaining unit job classification to remain in the bargaining unit, is excluded from the bargaining unit until the employee again works at least three hundred fifty hours in a bargaining unit job classification in a twelve-month period (as described in this section).~~

~~Temporary appointment under the provisions of this section may be subject to remedial action in accordance with WAC 357-19-450, if the number of hours worked exceeds one thousand fifty hours in a twelve consecutive month period from the original date of hire or October 1, 1989, whichever is later. Overtime and time worked as a student employee under the provisions of WAC 357-04-040 are not counted in the one thousand fifty hours. For purposes of counting the one thousand fifty hours, the twelve-~~

## Section E: Rule Amendments

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month period will begin on the employee's original date of hire or October 1, 1989, whichever is later. The next twelve-month period will repeat accordingly. For example:

The employee's original date of hire is June 1, 2009. The twelve-month period would be June 1, 2009, through May 31, 2010. The next twelve-month period would be June 1, 2010, through May 31, 2011. This pattern will continue.)

### NEW SECTION

#### **WAC 357-04-046 May a higher education employer make subsequent appointments for temporary employees who have exhausted their temporary appointment as identified in WAC 357-04-045?**

Higher education employers may hire employees who have exhausted their temporary appointment as identified in WAC 357-04-045 if the employee is appointed as a nonpermanent or permanent employee in accordance with chapter 357-19 WAC.

### AMENDATORY SECTION

#### **WAC 357-04-055 Who defines exempt status for student(~~(, part-time,)~~) or temporary employees; part-time professional consultants; and inmates for general government employers and what types of positions are exempt?**

In accordance with RCW 41.06.070, the ~~((board))~~ director defines exemptions for student(~~(, part-time)~~) or temporary employees; part-time professional consultants; and inmates. The following types of general government employees are exempt from civil service rules:

- (1) Part-time local health officers;
- (2) ~~((Persons employed on a part-time, or temporary basis for medical, nursing or other professional service and who are not engaged in the performance of administrative duties;~~
- (3) ~~Part-time or~~) Temporary employees who are enrolled as full-time students in recognized educational institutions and whose employment is largely to provide a training opportunity, and all temporary employees not in federal grant-in-aid programs;
- ~~((4))~~ (3) Patient and resident help in general government residential facilities;
- ~~((5))~~ (4) Inmate help in general government correctional facilities; and
- ~~((6))~~ (5) Skilled and unskilled labor employed temporarily on force account; construction and maintenance projects; or employed on temporary seasonal single phases of agricultural production or harvesting; or as determined by the director to be equivalent.

## Section E: Rule Amendments

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### AMENDATORY SECTION

#### **WAC 357-19-435 For what reasons may a higher education employer ~~((make))~~ appoint an individual to a temporary appointment?**

A higher education employer may ~~((make))~~ appoint an individual to a temporary appointment for the following reasons:

(1) The number of hours to be worked by the individual will not exceed one thousand fifty hours in ~~((any))~~ a twelve consecutive month period from the original date of hire or ~~((October 1, 1989))~~ January 1, 2022, whichever is later, in accordance with WAC 357-04-045; or

(2) The employing official formally assigns a classified employee the duties and responsibilities of a higher-level class for a period of less than six consecutive months. In accordance with WAC 357-19-441(2), temporary appointments under this subsection are not exempt from civil service rules.

### AMENDATORY SECTION

#### **WAC 357-19-440 What provisions govern higher education temporary appointments?**

(1) Temporary appointments may be made without regard to rules on recruitment, assessment~~((;))~~ and certification as provided in chapter 357-16 WAC.

(2) Each higher education employer must develop for director approval a procedure which indicates the employer's system for controlling and monitoring ~~((exempt part time and))~~ temporary positions as identified in WAC 357-04-045. The procedure must include a mechanism to access and report hours worked by an individual temporary employee.

(3) ~~((A higher education employer may petition the director in writing for approval of exceptions to the one thousand fifty hours threshold as specified in WAC 357-19-435(1)).~~

~~((4))~~ No temporary appointment shall take the place of employees laid off under the provisions of WAC 357-46-010.

## Section E: Rule Amendments

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### AMENDATORY SECTION

#### **WAC 357-19-450 When may the director take remedial action for individuals in higher education temporary appointments and what does remedial action include?**

For individuals in higher education temporary appointments under the provisions of WAC 357-19-435(1), the director may take remedial action to confer permanent status, set base salary(,) and establish seniority when it is determined that the following conditions exist:

(1) The ((employee)) individual has worked in one or more temporary positions as identified in WAC 357-04-045 for more than one thousand fifty hours in any twelve consecutive month period since the original hire date or ((October 1, 1989)) January 1, 2022, whichever is later. (Overtime and time worked as a student employee under the provisions of WAC 357-04-040 are not counted in the one thousand fifty hours.)

(2) The position or positions are subject to civil service.

(3) The employee has not taken part in any willful failure to comply with these rules.

## Section E: Rule Amendments

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### ITEM #2 – Non-permanent Rules

**Staff note:** We are proposing to expand the current General Government non-permanent rules so that Institutions of Higher Education may use to fill non-permanent appointments.

We are proposing to add a new section, WAC 357-19-376 to state that higher education employees may receive consecutive nonpermanent appointments as long as any subsequent appointment is to a different position.

Staff is proposing permanent adoption effective January 1, 2022.

### REFERENCE ONLY (NO CHANGE)

WAC 357-19-375 Can an employee receive consecutive general government nonpermanent appointments?

Individuals may receive consecutive nonpermanent appointments as long as:

- (1) Any subsequent appointment is to a different position; or
- (2) The multiple appointments are of a seasonal nature but don't meet the definition of seasonal appointment because each appointment last less than five months in duration during any consecutive twelve-month period.

### REFERENCE ONLY (NO CHANGE)

WAC 357-19-380 What provisions of the civil service rules apply to nonpermanent employees?

The leave and holiday provisions of chapter [357-31](#) WAC and compensation provisions of chapter [357-28](#) WAC apply to employees in nonpermanent appointments.

### AMENDATORY SECTION

#### **WAC 357-01-210 Nonpermanent appointment.**

An appointment made by ~~((a general government))~~ an employer under the provisions of WAC 357-19-360.

## Section E: Rule Amendments

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### AMENDATORY SECTION

#### **WAC 357-19-360 For what reasons may ~~((a general government))~~ an employer make nonpermanent appointments?**

~~((A general government))~~ An employer may fill a position with a nonpermanent appointment when any of the following conditions exist:

- (1) A permanent employee is absent from the position;
- (2) The ~~((agency))~~ employer is recruiting to fill a vacant position with a permanent appointment;
- (3) The ~~((agency))~~ employer needs to address a short-term immediate workload peak or other short-term needs;
- (4) The ~~((agency))~~ employer is not filling a position with a permanent appointment due to the impending or actual layoff of a permanent employee(s); or
- (5) The nature of the work is sporadic and does not fit a particular pattern.

### AMENDATORY SECTION

#### **WAC 357-19-365 When is it inappropriate for ~~((a general government))~~ an employer to fill a position with a nonpermanent appointment to address a short-term immediate workload peak or other short-term needs?**

~~((General government))~~ Employers **must not** fill a position with a nonpermanent appointment under the provisions of WAC 357-19-360(3) when the work of the position is scheduled, ongoing and permanent in nature. If at any time during a nonpermanent appointment, a short-term workload peak or other short term need becomes ongoing and permanent in nature, the employer must take action to fill the position on a permanent basis.

### AMENDATORY SECTION

#### **WAC 357-19-370 How long ~~((can a general government))~~ may a nonpermanent appointment last?**

- (1) ~~((Agencies))~~ Employers are encouraged to limit the duration of a nonpermanent appointment to twelve months from the appointment date.
- (2) A nonpermanent appointment for a reason specified in WAC 357-19-360 (1) through (4) **must not** exceed twenty-four months unless the director has approved an extension of the appointment due to the continued absence of a permanent employee. An employer may choose to not count time spent in formal training programs towards the twenty-four month limit. On-the-job training is not considered a formal training program for purposes of this rule.

## Section E: Rule Amendments

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### AMENDATORY SECTION

#### **WAC 357-19-373 What notification must ~~((a general government))~~ an employer give a nonpermanent appointee?**

(1) Upon appointment, all nonpermanent appointees must be notified in writing of the conditions of their appointment and/or upon any subsequent change to the conditions of their appointment.

(2) The written notification must at a minimum contain the following information:

(a) The reason for the nonpermanent appointment in accordance with WAC 357-19-360;

(b) The hours of work and the base salary;

(c) The anticipated short-term duration or sporadic nature of the appointment;

(d) A statement regarding the receipt or nonreceipt of benefits. If the employee is to receive benefits, the statement shall include which benefits are to be received; and

(e) The right to request remedial action as provided in WAC 357-19-425.

### NEW SECTION

#### **WAC 357-19-376 May an employee receive consecutive higher education nonpermanent appointments?**

Individuals may receive consecutive nonpermanent appointments as long as any subsequent appointment is to a different position.

### AMENDATORY SECTION

#### **WAC 357-19-377 What provisions apply to ~~((general government))~~ nonpermanent appointments?**

~~((General government))~~ Nonpermanent appointments are subject to the following provisions:

(1) Nonpermanent appointees must meet the competencies and other requirements of the position to which they are appointed.

(2) Nonpermanent appointments may be filled on a noncompetitive basis which means the employer is not required to comply with the rules on recruitment, assessment~~((,-))~~ and certification as provided in chapter 357-16 WAC.

(3) Nonpermanent appointments may be filled using the competitive process specified in chapter 357-16 WAC as long as the eligible applicant indicates a willingness to accept a nonpermanent appointment.

(4) ~~((Agencies))~~ Employers may underfill a position with a nonpermanent appointment.

## Section E: Rule Amendments

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### AMENDATORY SECTION

#### **WAC 357-19-385 ((Can)) May a permanent employee accept a nonpermanent appointment?**

A permanent employee may accept a ((general government)) nonpermanent appointment.

### AMENDATORY SECTION

#### **WAC 357-19-388 What notices must employees and their employers provide each other when an employee accepts a nonpermanent appointment?**

Employees who accept a nonpermanent appointment must give their current employers at least fourteen calendar days' notice before moving to a nonpermanent appointment. The current ((agency)) employer and employee may agree to waive or shorten the notice period.

When the current employer receives the employee's notice, the employee's permanent ((agency)) employer must notify the employee in writing of ((his/her)) the employee's return right at the conclusion of the nonpermanent appointment.

For purposes of this rule, written notice may be provided using alternative methods such as email, campus mail, the state mail service, or commercial parcel delivery in accordance with WAC 357-04-105.

### AMENDATORY SECTION

#### **WAC 357-19-400 ((Can the agency)) May an employer convert a ((general government)) nonpermanent appointment to a probationary or trial service appointment?**

(1) When an ((agency)) employer uses a competitive process to make a nonpermanent appointment to fill a position in the absence of a permanent employee or fill a position nonpermanently due to the impending or actual layoff of a permanent employee(s), the ((agency)) employer may change the status of the appointment to probationary or if the employee held permanent status prior to the nonpermanent appointment to trial service if:

(a) The permanent employee does not return to the position or the layoff action has been implemented; and

(b) The ((agency)) employer needs to fill the position permanently.

(2) At the discretion of the appointing authority, time spent in the nonpermanent appointment may count towards the probationary or trial service period for the permanent position.

## Section E: Rule Amendments

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### AMENDATORY SECTION

**WAC 357-19-420 What are the appeal rights of ((general government)) nonpermanent employees?**

Employees without permanent status appointed to ((general government)) nonpermanent appointments have no appeal rights with the exception of remedial action as provided in WAC 357-19-430.

### AMENDATORY SECTION

**WAC 357-19-425 How does a ((general government)) nonpermanent employee request remedial action?**

Requests for remedial action by nonpermanent employees must be received in writing within thirty days as provided in chapter 357-49 WAC. Following a director's review of the remedial action request, an employee may file exceptions to the director's decision in accordance with chapter 357-52 WAC.

### AMENDATORY SECTION

**WAC 357-19-430 When may the director take remedial action for ((general government)) nonpermanent employees and what does remedial action include?**

The director may take remedial action to confer permanent status, set base salary, and establish seniority when it is determined that the following conditions exist:

- (1) The employer has made an appointment that does not comply with rules on nonpermanent appointment; or
- (2) The duration of a nonpermanent appointment as defined in WAC 357-19-360 (1) through (4) has exceeded twenty-four months without director approval.

## Section E: Rule Amendments

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### **ITEM #3 – RCW 41.06.070 Cleanup**

**Staff note:** HB 2669 also amended RCW 41.06.070 to remove the part-time employee exemption from civil service law (as described in item #1 above). This amendment also resulted in changes to existing subsections. As a result, the references to RCW 41.06.070(3) in WACs 357-04-020, 357-04-025 and 357-04-030 need to be amended to reflect the correct references in RCW 41.06.070. Rather than citing a specific subsection, we are proposing to cite the RCW in case the ordering of the RCW changes in the future to avoid the requirement of amending the rules.

Staff is proposing permanent adoption effective January 1, 2022.

### **AMENDATORY SECTION**

#### **WAC 357-04-020 May the director exempt other positions from civil service?**

The director may provide for further exemptions for general government positions involving substantial responsibility for formulating basic agency or executive policy or involving directing and controlling program operations of an agency or a major administrative division of an agency in accordance with the provisions and procedures of RCW 41.06.070(~~(3)~~).

### **AMENDATORY SECTION**

#### **WAC 357-04-025 What rights does a classified employee have when the position (~~he/she~~) the employee holds is exempted from the civil service rules?**

As required by RCW 41.06.070(~~(3)~~) and 41.06.170, an employee holding a classified position has the following rights if the position is exempted from the application of the civil service rules:

- (1) If the employee previously held permanent status in another classified position, the employee has the right to return to the highest class of position previously held, or to a position of similar nature and salary in accordance with WAC 357-19-220.
- (2) The employee may appeal the exemption of the position in accordance with chapter 357-52 WAC.

### **AMENDATORY SECTION**

#### **WAC 357-04-030 What right does an employee have to return to the classified service from exempt service?**

As required by RCW 41.06.070(~~(3)~~), any employee having permanent status in a classified position who accepts an appointment in an exempt position has the right to return to classified service in accordance with WAC 357-19-195, 357-19-200, and 357-19-205. As long as the employee was not terminated from the exempt position for gross misconduct or malfeasance, the employee has the right to return to the highest class of position in which (~~he/she~~) the employee previously held permanent status or to a position of similar nature and salary.